

From,

Client ID: _____

Date: / /

Client Name: _____

Client Address: _____

To,

Firststock Broking Pvt. Ltd. (formerly known as NCO Securities and Share Broking Pvt. Ltd.)

Registered Office: No.350, 1st Floor 36th A Cross,

7th Main Road, 5th Block, Jayanagar, Bangalore – 560041

Tel: (+9180) 4670 2050

Dear Sir / Madam,

SUB: Enablement of Additional Segment for Client ID: _____

I/We have an account with Firststock Broking Private Limited (formerly known as NCO Securities and Share Broking Pvt Ltd.) with the above mentioned client ID

I/We request you to activate the below mentioned segment on my/our account.

Segment	Client Signatures	Segment	Client Signatures
NSE Equity Cash	F1 	BSE Equity Cash	F2 
NSE F & O	F3 	BSE F & O	F4 

RUNNING ACCOUNT AUTHORIZATION (VOLUNTARY)

To,

Firststock Broking Pvt. Ltd. (formerly known as NCO Securities and Share Broking Pvt. Ltd.)

Date: / /

I/We are dealing through you as a client in NSE & BSE in order to facilitate ease of operations and up front requirement of Margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my / our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.

2. I/We request you to settle my fund and securities account

☐ Once in a calendar Month ☐ Once in every calendar Quarter

(except the funds given towards collaterals / margin in form of Bank Guarantee and/or Fixed Deposit Receipt)

3. I/We may also ask you from time to time to furnish the shares as collateral for trading in NSE/BSE to you and /or clearing member for trading on derivative segment. The transfer and / or pledge charges in that respect may also be debited to my /our ledger.

4. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your corporate office and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds and/or securities.

5. I/We hereby authorize you to hold funds / securities as prescribed by SEBI / Exchanges during the Monthly/Quarterly settlement of funds and securities in terms of funds / securities to be held and margins that can be held for future requirements Namely.

All accounts with credit balances of Rs. 10000/- or lesser shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE: NSE/INSP/24849 & BSE:20131029-15.

6. I/We confirm you that I can revoke the above-mentioned authority at any time and would continue until such revocation.

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Client Signature

AUTHORIZATION FOR ELECTRONIC CONTRACT NOTE (ECN) DECLARATION

To,
Firststock Broking Pvt. Ltd. (formerly known as NCO Securities and Share Broking Pvt. Ltd.)

Date: / /

Sub: Authorization for Electronic Contract Notes & Acceptance for Electronic Communication:

Dear Sir,

I/We _____ a client with Firststock Broking Private Limited, member of NSE / BSE undertake as follows

1. I / we am/are aware that the member has to provide physical contract note in respect of all the trades placed by me/us unless I/ we myself/ourself want the same in Electronic Form.
 2. I / we am/are aware that the member has to provide Electronic contract note for my convenience on my / our request only.
 3. Though the member is required to deliver physical contract note, I / we find that it is inconvenient for me/us to receive physical contract notes. Therefore I / we am / are voluntarily requesting for delivery of electronic contract notes pertaining to all the trades carried out/ ordered by me/us.
 4. I / we have access to a computer and am / are a regular internet user, having sufficient knowledge of handling the email operations.
 5. My / Our Email Id is _____ This ID has been created by me / us and not by someone else.
 6. I / we am/are aware that this declaration form should be in English or in any other Indian language known to me.
 7. I / we am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above E-mail ID.
 8. I / We hereby opt to receive Scanned KYC Form, Statement of Account/ Securities, Quarterly Retention Reports, Daily Margin Statement, DP Bills / DP Transaction & Holding Statement and any other Communication also in Electronic Form.
 9. I / We agree not to hold you responsible for late / non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
 10. I / We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me / us and such dispatch shall be deemed to mean receipt by me / us and shall not be disputed by me / us on account of any non- receipt / delayed receipt for any reason whatsoever.
- I / We understand that I / we am / are required to intimate any change in the email id / email account mentioned herein above needs to be communicated by me / us either through a physical letter to you, or through a secured access using client specific user id and password. I / We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/ our directions given above. (The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me / us. I / we am / are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same)

Client Name : _____

Address: _____

PAN :

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Unique Client Code :

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Demat Account No. :

1	2	0	8	1	4	0	0							
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Client Signature

Verification of the client

Signature done by: _____ Date: _____ Place: _____