

FATCA-CRS Declaration for Entities

Details of ultimate beneficial owner including additional FACTA & CRS information (please include other references for completeness sake)

Particulars	Details
Name of the Entity	
Customer ID	
Address of tax residence (including city, state, country and pin code)	
Address Type	☐ Business ☐ Registered Office
City of Incorporation	
Country of Incorporation	
Entity Constitution Type	
A Partnership Firm, B HUF, C Private Limited Company, D Public Limited Company, E Society, F AOP/BOI, G Trust, H Liquidator, I LLP, J Artificial Juridical Person Z -Others specify	
Date of Incorporation (in DD/MM/YYYY format)	
Permanent Account Number (PAN)	
Identification Type & Identification Number (if TIN or US GIIN not provided)	Company ID No.:
	Global Entity ID No.:
	Others(please specify & provide):
Identification number issuing country	

Tax residence declaration (tick any one, as applicable)

- ☐ Entity is a tax resident of India and not resident of any other country **OR**
☐ Entity is a tax resident of the country/ies mentioned in the table below

Please indicate ALL the countries in which you are a resident for tax purposes and the associated Tax ID No. below

Country	Tax Identification Number [%]	Identification Type (TIN or Other [%] , please specify)

[%] In case Tax Identification Number is not available, kindly provide functional equivalent

^{\$} It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation & attach this to the form

In case the Entity's Country of Incorporation/ Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here:

(Refer 3(viii) of Part D)

FATCA & CRS Declaration		
(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)		
PART A		
(to be filled by Financial Institutions or Direct Reporting NFES)		
Entity is a ☐ Financial institution ¹ OR ☐ Direct reporting NFE ²	GIIN	Name of sponsor in entity
	<i>Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below:</i>	
In case the GIIN not available, please tick any one below (as applicable): Following options available only for Financial Institutions: ☐ Applied for ☐ Not required to apply for (Please specify sub-category ³ _____) Please provide with Form W8-BEN-E, duly filled in ☐ Not obtained - Non-participating FI		

1 - Refer 1 of Part D. | 2 - Refer 3(vii) of Part D | 3 - Refer 1 A of Part D

PART B

(to be filled by NFEs other than Direct Reporting NFEs; please fill any one as appropriate)

☐ Entity is a publicly traded company ⁴ (i.e. a company whose shares are regularly traded on an established securities market)	Name of the stock exchange <i>(Please specify any one stock exchange upon which the stock is traded) :</i>
☐ Entity is a related entity of a publicly traded company ⁵ If Yes, Nature of relation: ☐ Subsidiary of the listed company ☐ Controlled by a listed company	Name of such publicly traded company: Name of the stock exchange <i>(Please specify any one stock exchange upon which the stock is traded) :</i>
☐ Entity is an active NFE ⁶	Nature of business: Sub-category of Active NFE <i>(Mention code-refer 2c of Part D) :</i>
☐ Entity is a passive NFE ⁷	Nature of business:

FATCA-CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring for any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with NCO Securities & Share Broking Pvt Ltd or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

CERTIFICATION

I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA CRS Terms and Conditions and hereby accept the same

For : _____
 Authorized Signatory

Date:

Place:

 Signature & Stamp

⁴Refer 2a of Part D

⁵Refer 2b of Part D

⁶Refer 2c of Part D

⁷Refer 3(ii) of Part D